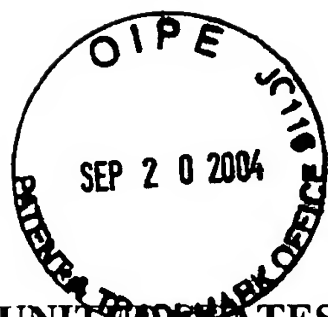


S/N 10/054,665



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	John F. Engelhardt et al.	Examiner:	David Guzo
Serial No.:	10/054,665	Group Art Unit:	1636
Filed:	January 22, 2002	Docket:	875.007US2
Title:	ADENO-ASSOCIATED VIRUS VECTORS		

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

Four of the attached documents were discovered as a result of an International Search Report in Applicant's related foreign patent application. These patents are: WO 01/083692, US 2002/0131956, US 6,156,303 and US 6,287,569. Enclosed for the Examiner's information is a copy of these cited documents and the International Search Report.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :10/054,665

Filing Date: January 22, 2002

Title: ADENO-ASSOCIATED VIRUS VECTORS

Page 2

Dkt: 875.007US2

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

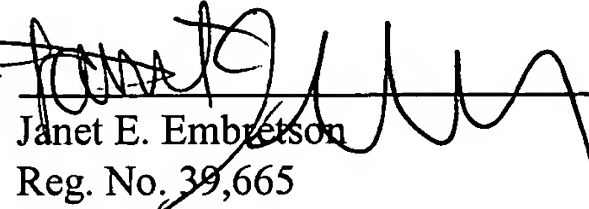
Minneapolis, MN 55402

(612) 373-6959

Date

September 16, 2004

By

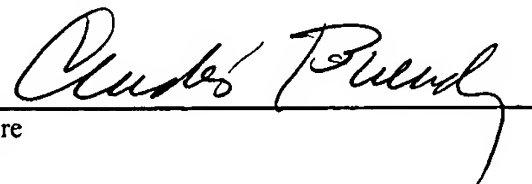

Janet E. Embretson
Reg. No. 39,665

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 16 day of September, 2004.

CANDIS BUENDING

Name

Signature



S/N 10/054,665

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John F. Engelhardt et al.

Examiner: David Guzo

Serial No.: 10/054,665

Group Art Unit: 1636

Filed: January 22, 2002

Docket: 875.007US2

Title: ADENO-ASSOCIATED VIRUS VECTORS

COMMUNICATION CONCERNING RELATED APPLICATIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related applications in the above-identified patent application:

<u>Serial/Patent No.</u>	<u>Filing Date</u>	<u>Attorney Docket</u>	<u>Title</u>
6436392	March 25, 1999	875.007US1	ADENO-ASSOCIATED VIRUS VECTORS
09/684554	October 6, 2000	875.024US1	ADENO-ASSOCIATED VIRUS VECTORS AND USES THEREOF
09/689136	October 12, 2000	875.032US1	COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By Applicants' Representatives,
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6959

Date

September 16, 2004

By

Janet E. Emberson

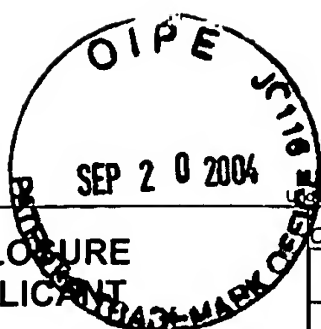
Reg. No. 39,665

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/054,665
Filing Date	January 22, 2002
First Named Inventor	Engelhardt, John
Group Art Unit	1636
Examiner Name	Guzo, David

Sheet 1 of 3

Attorney Docket No: 875.007US2

US PATENT DOCUMENTS

Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	Filing Date If Appropriate
	US-2002/0131956 A1	09/19/2002	Walsh, C. E., et al.	424	93.2	03/12/2002
	US-2003/0103939 A1	06/05/2003	Engelhardt, J. E., et al.	424	93.2	07/12/2002
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FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T ²
	WO-95/15384A1	06/08/1995	Johnson, D. C., et al.	C12 N	15/38	
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	WO-00/75365A2	12/14/2000	Engelhardt, J. F., et al.	C12 Q	1/00	
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	WO-01/83692A2	11/08/2001	Hildinger, M. , et al.	C12 N		
	WO-03/006616A2	01/23/2003	Engelhardt, J. F., et al.	C12 N		

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"PCT International Search Report from International Application No. PCT/US02/21926", (10/15/2002), 4 pages	
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EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td colspan="2" style="text-align: center;"><i>Complete if Known</i></td> </tr> <tr> <td style="width: 40%;">Application Number</td> <td>10/054,665</td> </tr> <tr> <td>Filing Date</td> <td>January 22, 2002</td> </tr> <tr> <td>First Named Inventor</td> <td>Engelhardt, John</td> </tr> <tr> <td>Group Art Unit</td> <td>1636</td> </tr> <tr> <td>Examiner Name</td> <td>Guzo, David</td> </tr> </table>	<i>Complete if Known</i>		Application Number	10/054,665	Filing Date	January 22, 2002	First Named Inventor	Engelhardt, John	Group Art Unit	1636	Examiner Name	Guzo, David
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Group Art Unit	1636												
Examiner Name	Guzo, David												
Sheet 2 of 3	Attorney Docket No: 875.007US2												

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
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EXAMINER

DATE CONSIDERED

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Complete if Known	
	Application Number	10/054,665
	Filing Date	January 22, 2002
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	Examiner Name	Guzo, David
Sheet 3 of 3	Attorney Docket No: 875.007US2	

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EXAMINER

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Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 809. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached